

Model Chapter – Chapter of Excellence 2020

Application due September 8, 2020 –
MC (Model Chapter), COE (Chapter of Excellence)

Chapter Name: *

Completed By: *

First

Last

Email: *

Phone: *

Chapter Leadership

1. [MC/COE] ALL Chapter Board officers are current NDIA members in good standing as of 1 August 2020.

Please enter below or attach an excel document containing all Chapter Board officers and include company affiliation and email associated with their NDIA membership. *

Attach a File

No file chosen

2. [MC/COE] Chapter Leadership notified NDIA National at least one month prior to Election.

Notification Date: *

MM DD YYYY

Election Date *

MM DD YYYY

2a. [MC/COE] New Chapter President approved, signed and submitted NDIA Operating Principles to NDIA Chapters team within two weeks of Chapter Election. Date Chapter President submitted signed NDIA Operating Principles to NDIA *

No file chosen

2b. [COE] Chapter Leadership notified NDIA National of new Chapter Board members within two weeks of Chapter elections.

Date updated Chapter Board Roster submitted to NDIA Chapters team:

MM DD YYYY

Chapter Website

3. [MC/COE] Does your Chapter have a website? *

☒ Yes

☐

No

3a. [MC within 6 months / COE within 3 months] Website update as of 1 August 2020: *

/ / 
MM DD YYYY

3b. [MC/COE] Is NDIA's privacy statement posted on your Chapter's website? *

- ☒ Yes
☐ No

3c. [COE] Correct/Updated NDIA logo on website as of 8 September 2020

Chapter Events

4. [MC/COE] Chapter's upcoming events posted on Chapter website *

- ☒ Yes
☐ No

4a. [MC/COE] Chapter submitted major events to NDIA via appropriate form to list event on the NDIA Events page. List event and date form submitted to NDIA. *

Attach a File

No file chosen

5. [COE] Has your Chapter participated in at least one Military and/or Educational Initiative in the areas of STEM, local ROTC military programs, Wounded Warriors, USO, Fisher House, or like initiatives? Describe the event.

Attach a File

No file chosen

Chapter Finances

6. [MC/COE] Chapter submitted its Fiscal Year and Quarterly Financial Reports on time: *

- ☒ Yes
☐ No

Q1 financial report submitted on: *

/ / 
MM DD YYYY

Q2 financial report submitted on: *

/ / 
MM DD YYYY


Q3 financial report submitted on: *

/ / 
MM DD YYYY

Q4 financial report submitted on: *

/ / 
MM DD YYYY

Fiscal year Financial Report submitted on: *

/ / 
MM DD YYYY

7. [COE] Chapter is financially self-sustaining with Annual Income exceeding Annual Expenses.

Chapter Income FY20:

\$.
Dollars Cents

Chapter Expenses FY20:

\$.
Dollars Cents

Chapter Member Outreach

8. [MC Quarterly / COE monthly] Chapter initiates communication with NDIA Members via e-mail, chapter website, blog post, newsletter and/or other sources of outreach. Attach document and or spreadsheet with the outreach type and the date. *

No file chosen

NDIA Leadership Conference

9. [MC / COE] Chapter Leadership participated in the 2019 NDIA Leadership Conference *

☒ Yes

☐ No

9a. [MC / COE] Name of Chapter Leader who participated: *

First Last

10. [MC / COE] Chapter Leadership plans to participate in the 2020 NDIA Leadership Conference? *

Additional Criteria

11. [COE] Attach your clear Leadership Succession plan

No file chosen

12. [COE] Chapter donated at least \$2,500 to non-profit organizations or to scholarship awardees in FY20

No file chosen

13. [COE] Chapter recruited three new NDIA Corporate Members in FY20. List the recruits or attach documents below:

Attach a File

Choose File

 No file chosen

14. [COE] Chapter designated at least one Board Member to participate in and/or support at least one of NDIA's Divisions

First Last

Chapter Name:

Name of Division:

15. [COE] For Chapters that have a local Women In Defense Chapter, Chapter designated at least one Board Member to serve as a liaison to the local Women In Defense Chapter

First Last

16. [COE] Chapter nominated a candidate for NDIA's Shridhar award, following all rules established by the NDIA Board of Directors' Awards Committee.

Candidate's Name:

First Last

16a. [COE] Name of Nominating Individual:

First Last

2020 - National Defense Industrial Association
Rocky Mountain Chapter - Board Directory

Position	Name	Company	Email
President	Kevin 'Morty' Mortensen	BAE Systems Intelligence & Security	kevin.mortensen@baesystems.com
Executive Vice President	Philip Kwong	Intelsat General Communications, LLC	philip.kwong@intelsatgeneral.com
Treasurer	Karri Palmetier	Palmetier Law	karri@palmetierlaw.com
Secretary	Michael Pennica	Pennica Financial Group, LLC	michael@pennicafinancial.com
Director	Kristine Burnell	SAIC	Kristine.A.Burnell@saic.com
Director	Bill Clark	Allied Mountain	bill.clark@alliedmountain.com
Director	Fred Gaudlip	Kratos RT Logic	fred.gaudlip@kratosrtlogic.com
Director	DeForest 'Forey' Hamilton	Boeing Defense	deforest.hamilton@boeing.com
Director	Joe Kahoe	UICGS and Bowhead Family of Companies	Joseph.Kahoe@bowheadsupport.com
Director	Rayetta Lantzy	VIAVI Solutions	Rayetta.Lantzy@viavisolutions.com
Director	Tony Muro III	MCSG Technologies	tony.muro@outlook.com
Director	Rusty Powell	Millenium Engineering and Integration Company	rpowell@meicompany.com
Director	Kristen Christy	Modern Technology Solutions, Inc. (MTSI)	kristen@nv3foundation.org
Director	Scott 'Scooter' Gilson	Infinity Systems Engineering	scott.gilson@infinity.aero
Director	Robert 'Kent' Traylor	Lockheed Martin Space	robert.k.traylor@lmco.com

2020 - National Defense Industrial Association
Rocky Mountain Chapter - Committee Chairpersons

COMMITTEE	CHAIR	SKILLS	NOTES
Awards	Joe Kahoe	Attention to detail, community aware	Base access to collect and move Hartinger award and posters
Communication	Laura Tuner	PA background, social media savvy,	Networked with other organizations
Events and Activities	Rayetta Lantzy	Planning, attention to details	Personable, networked with hospitality market
Finance	Vice President	Financials, forecasting, budgeting	
Investment Account	Jeff Benesh	Financial forecasting, attention to detail, communicative	(Financial Planners may have an OCI) Networked, understand market changes and financial effects
Membership Support	Kelly Shelton	Extrovert, gregarious, marketing, sales, growth focus	Networked with other organizations
Policy and Governance	Past President	Planning, attention to details,	Connections with NDIA HQ
Small Business	Doc Klodnicki	Planning, attention to details, extrovert, marketing, sales	SB owner or executive
Government and Community Relations	Rusty Powell	Community involved and recognized, gregarious, extrovert, planning, attention to details	Networked with other organizations, Board member of other organization(s), base access
WID Liaison	Kristen Christy	Woman-Owned involved and recognized, communicative	Member of WID, networked with WOSB
Workforce Development	Tom Taylor	Coordination, STEM, facilitates interactions, collaborative	Networked with schools and job placement activities
Young Professionals	Tony Muro	Community involved and recognized by young and established professionals, planning, attention to details, collaborative, able to put together a team	Young, high potential employee (HPE)

NATIONAL DEFENSE INDUSTRIAL ASSOCIATION (NDIA) ROCKY MOUNTAIN CHAPTER (RMC) SUCCESSION PLAN

The National Defense Industrial Association, Rocky Mountain Chapter Succession Plan, the "Plan," identifies the key role of leadership at the various levels of the RMC organization and methods to ensure continuity and development of leadership. The Plan ensures continuity by identifying the process to replace leadership should they leave their role prior to their full term. The Plan also ensures development of leadership through recruiting of individuals for recommendation to replace leadership at the end of the full term.

CONTINUITY

Executive Committee: Should one of the Executive Leadership Committee members leave their role prior to their full term, the following provides a guideline for replacement:

Departing	Arriving
President	Vice President
Vice President	Secretary
Secretary	Select Committee Chair
Treasurer	Select member from Finance Committee or Audit Committee

Director: Should one of the members of the Board of Directors, a Director, leave their role prior to their full term, the following provides a guideline for replacement upon consideration of the timeline for replacement:

1. If the Director departs within a short period prior to the end of term, the Executive Committee will consider the pending election as a means to replace the departing Director.
2. If the Director departs within a lengthier period prior to the end of term, the Executive Committee will consider leaving the vacancy unfilled or use of an appointment.

Committee Member: Should one of the members of a Committee, other than the Chair, leave their role prior to their full term, the Committee Chair will replace the departing member as the Chair sees fit. If the departing committee member is the Chair, the Chapter President will replace the departing chair applying the following guidelines:

1. Replace the departing Chair from within the committee.
2. Transfer a Chair from another committee with the leadership skills to manage both Committees (e.g. Workforce Development and Young Professionals) especially if within a short period prior to the end of term.
3. Seek a new Chair from outside the current committee and not from another committee

DEVELOPMENT

Executive Committee:

President	The President takes the responsibility to train, mentor, and support the development of the Vice President in preparation for the role of Chapter President. The RMC considers the Vice President role as an ascendancy position. As part of the transfer of responsibility, the President may send the Vice President to the National Meeting as a means to introduce the next President (current Vice President) to broader NDIA community.
Vice President	The Nominating Committee, in concert with the Executive Committee, nominates for Vice President a Chapter member with experience as a Committee Member or Chair, Director experience, or other Executive Committee experience. If the Vice President does not make satisfactory progress towards qualification as an ascendant President in their first year in role, the President will recommend replacement and request a search for a new Vice President
Treasurer	The Nominating Committee, in concert with the Executive Committee, nominates for Treasurer a person with strong organizational and communication skills, understanding of bookkeeping or accounting principles, and an opportune nomination for consideration as a future RMC Vice President.
Secretary	The Nominating Committee, in concert with the Executive Committee, nominates for Secretary a person with strong organizational and communication skills, understanding of Robert's Rules of Order and Parliamentary Procedures, and an opportune nomination for consideration as a future RMC Vice President.

Director: The Nominating Committee will nominate potential members to serve on the Board of Director individuals with:

1. Strong collaboration skills.
2. Experience in roles with strategic or executive skills.
3. Prior service on other Boards of Directors.

Additionally, the Nominating Committee will ensure representation from a variety of company types (e.g. SDVOSB, WOSB, ANC, HNO, HUBZone, etc.) to ensure a cross-representation of the industrial community in order to best serve the various needs of the industry members, support the national defense needs, and maximize the likelihood of Chapter success and attainment of recruitment and participation goals.

Committee Member: The President, in consultation with the Nominating Committee, will identify individuals to serve on committees and select the chair for each committee. Likewise, the Chair of a committee will actively recruit individuals to serve on the respective committees. The Chapter President will consider the following guidelines in selecting a Committee Chair:

1. While still Vice President, the ascending President may confer with the Executive Committee, the Nominating Committee, and committee members to determine the best fit for their leadership team. The ascending President may identify to the prospective Chair candidate the desire to request their service as Chair.
2. Committee Chairs will need to have strong organizational and communication skills, understanding of the time commitment as a Chair, and understanding of the role of the committee for which they will serve as a Chair.
3. While not a requirement to commit to further development from Committee Chair to Director and Executive Committee, Committee Chairs will serve as the primary pool from which the Nominating Committee will nominate Directors and Executive Committee.

NOMINATING COMMITTEE:

The Nominating Committee serves as the primary research and recommendation arm of the leadership development for the Chapter. The Executive Committee will recommend and the President will appoint three or five members to serve on the Nominating Committee using the following guidelines:

1. Director Emeritus: A Director Emeritus provides lengthy experience with a Chapter and the successes and failures of past leadership teams and will have the insight to recommend the right people for the current and future needs of the Chapter.
2. Past President. The Past President provides unique insight to the needs of the Chapter across the Executive, Director, and Committee leadership levels.
3. Past Director: A past Director provides unique insight to the relationship between the Directors, the Executive Committee, and the Committees in order to ensure nomination of a successful leader for the Chapter at the appropriate level of consideration.
4. Past Committee Chair. These positions provide unique and specific insight to the future leadership needs of the Chapter at the Committee level to include understanding the relationships between the Committees, Directors, and Executives in order to ensure nomination of a successful leader for the Chapter at the appropriate level of consideration.

Approval Recommended:



Richard H. 'Doc' Klodnicki, PhD
Chair
Policy and Governance Committee

Approved:



Kevin Mortensen
President
Rocky Mountain Chapter

Operating Principles for Chapters

On advice of counsel, NDIA has updated many of its governance documents. One change is to shift from “chapter bylaws” to “operating principles”. NDIA’s chapters are not separate organizations, but part of the enterprise. Its governing body does not have corporate fiduciary, and is accountable to the NDIA National Board.

These operating principles set forth the basic requirements and agreements between NDIA and its chapters. Chapters may structure themselves to suit local conditions, as long as they follow the minimum requirements set forth therein.

Chapters shall update these when there is a change in Chapter President or when amendments are made.

Operating Principles for Chapters of the NATIONAL DEFENSE INDUSTRIAL ASSOCIATION (NDIA)

1. **NAME:** The name of the organization shall be the “**Insert Chapter Name**” Chapter of the National Defense Industrial Association (hereafter called the Association.)
2. **PURPOSE:** Chapters provide local geographic focus to further the objectives and policies of the Association as set forth in its By Laws by:
 - a. Supporting current programs and activities of the Association.
 - b. Providing a means for liaison with local U.S. government agencies and personnel.
 - c. Encouraging and facilitating the exchange of information between industry, military, and government with particular reference to national security and defense preparedness.
 - d. Pursuing an active program in support of Association membership recruitment and retention efforts.
3. **ESTABLISHMENT OF AUTHORITY**
 - a. **Establishment Authority.** The Chapter is an integral part of the Association. It derives its existence, authority and fiscal tax status from the Articles of Incorporation and By Laws of the NDIA.
 - b. **Chapter Establishment.** In order to be a chapter, the entity must meet the requirements set forth in the NDIA or WID Chapter Manual.
 - c. **Operating Principles.** The Chapter must agree to, and the President sign, these Operating Principles, when a new President takes office and/or when amendments are made. The signed document will be submitted to the Association in accordance with these requirements. Effective October 1, 2017, this document shall replace all prior Chapter Bylaws.
4. **POLICY:** Actions, programs and public statements of the Chapter will conform to the policies and objectives in the Association’s Chapter Manual and other official expressions of Association policy. Nothing in these Operating Principles or elsewhere shall be construed so as to authorize any action that does not further the stated policies and objectives.
 - a. **Use of Logo.** Use of the NDIA/WID logo is authorized only by those members of the Chapter conducting official business of the Organization or Chapter and in accordance with the policies established by the NDIA.
 - b. **Official Expressions.** Actions, programs and public statements of the Chapter must conform to the policies established by the NDIA.

- c. **Membership List.** Contact information for members is to be used only for Association purposes and shall not be made available to any other organization for any purpose and is only to be shared with Chapter officers.
- d. **Antitrust Statement.** The National Defense Industrial Association (NDIA) is committed to strict compliance with federal and state antitrust laws. Accordingly, the following guidelines apply to any meeting or other activity conducted under the auspices of NDIA. This statement should be included on conference agendas:

The antitrust laws prohibit competitors from engaging in actions that could result in an unreasonable restraint of trade. Consequently, NDIA members must avoid discussing certain topics when they are together – both at formal association membership, board, committee, and other meetings and in informal contacts with other industry members: prices, fees, rates, profit margins, or other terms or conditions of sale (including allowances, credit terms, and warranties); allocation of markets or customers or division of territories; or refusals to deal with or boycotts of suppliers, customers or other third parties, or topics that may lead participants not to deal with a particular supplier, customer or third party.

- e. **Copyright Policy.** NDIA and its affiliates do not permit or condone copyright infringing activities by its staff, or by its members or other volunteers when engaged in NDIA activities. The Chapter shall adopt and conform to NDIA's Copyright Policy.
- f. **Other Required Policies.** The Chapter shall adopt and conform to NDIA's **Suspected Misconduct** and **Records Retention** policies.

5. MEMBERSHIP AND DUES

- a. **Membership.** Chapter membership is granted by the National Headquarters to persons in applicable membership categories of the Association who are current members and whose address is in the geographical area assigned to the Chapter by the Association. Individuals may be assigned membership in multiple chapters. However, only membership in one chapter may be considered as it applies to voting on national matters.
- b. **Dues.** The annual fee paid for Association membership by an individual or corporation includes Chapter membership.

6. STRUCTURE

- a. **Board of Directors.** Chapters shall establish a Board of Directors which shall manage the business and affairs of the Chapter. The Association has fiduciary responsibility. The Chapter Board of Directors' fiduciary responsibility is to support the Association with its loyalty and reasonable care of assets within its custody, and by execution of these Operating Principles, agrees to abide by the guidelines herein. The Board shall, during the last quarter of the fiscal year, establish the number of Directors for the following year. Chapters should avoid having more than one representative from a company on the Board; however, if more than one is appointed at any given time, it is the responsibility of the Chapter to ensure that no single company gets over represented and has the potential for influencing Chapter policy.
- b. **Officers.** The Board of Directors shall designate Chapter officers. These should include President, Secretary, Treasurer, with other officers as deemed necessary. The officers shall have such duties as generally pertain to their offices as well as duties conferred by the President. The President shall be responsible for chairing the Chapter Board meetings. The Secretary shall be responsible for recording the deliberations and actions taken by the Board in carrying out its duties. The Treasurer shall be responsible for finances and financial management practices of the Chapter.
- c. **Committees.** Committees may be appointed to assist the Chapter in the performance of its duties.

- d. **Meetings.** The Board of Directors shall meet at least annually and thereafter as deemed necessary by the President or a simple majority of the Directors.
 - e. **Removal.** Any officer or Director may be removed with or without cause by a resolution passed by affirmative vote of a majority of all the Directors.
7. **MEETINGS:** The Chapter shall have at least one meeting annually. Other meetings or polling of the members may be called at any time by the President or the Board of Directors or a majority thereof, or by not less than one-third of the members. At any meeting or polling of the members, each member entitled to vote shall have one vote, in person or by proxy.
8. **ELECTIONS**
- a. **Nominating Committee.** At least three months prior to Board turnover, the Chapter President and/or Chairman of the Nominating Committee, with the approval of the Chapter Board, shall appoint a nominating committee of at least three members. The Nominating Committee shall present its slate at a Chapter meeting or electronically. The election of Officers and Directors may take place by voice or electronic vote unless otherwise specified by the Board of Directors.
 - b. **Terms.** Directors will be elected for a set term of one or two years. Approximately one-half of the Directors shall be elected each year. Director vacancies may be filled at any time during the year by a majority vote of the remaining directors.
 - c. **Officers.** Officers will be elected for a period of one or two years. No one shall serve more than two consecutive terms as any one Officer position, unless approved by the Chapter Board of Directors. In the absence of the President, those duties shall devolve upon the Vice Presidents in their order of seniority of service, and in their absence, Treasurer, and, in turn, Secretary; in the absence of any Officer, upon a member of the Board of Directors in order of seniority.
 - d. The NDIA encourages inclusiveness and diversity on its Chapter Boards. Chapters should also use Board or Committee positions as opportunities to mentor future leaders.
9. **FINANCES**
- a. **Fiscal Year.** The fiscal year of the Chapter shall be the same as the fiscal year of the Association (October 1-September 30).
 - b. **Financial Records.** The financial records of the Chapter shall be kept in a manner generally deemed acceptable for such organizations and may be reviewed by an impartial Finance Committee or audited by independent audit firm, at least annually at the end of the fiscal year. The Finance Committee should consist of at least two persons appointed by the President from within the general membership and approved by the Board of Directors.
 - c. **Financial Reports.** The Chapter will provide to the Association an annual review or the independent audit report and management letter. The Chapter Manual identifies financial reports that are to be submitted quarterly and/or annually. If a Chapter chooses to obtain a Generally Accepted Accounting Principles (GAAP) audit performed by an independent audit firm by a certified public accountant, and provides to National a copy of the audit report and management, it may petition the Association to waive the interim quarterly and annual reporting requirements.
 - d. **Other Reports.** Additional reports may be rendered as deemed necessary by the Chapter or directed by the Association. A report for tax purposes will be rendered in a format and time as requested by the Association President or designee.

- e. **Signature Authority.** Signatories on all contracts, checks, notes, drafts and other orders for the payment of money must be authorized in writing by the Board of Directors. The Chapter President shall provide a list of authorized signers to the NDIA Staff Accountant whenever there is a change, but on at least an annual basis. The NDIA Chief Operating Officer shall be an official signer for all cash and investment accounts to allow access to review all account transactions.
- f. **Fiscal Responsibility.** The Association has ultimate fiscal responsibility for the Chapter. Accordingly, the Chapter shall not, without approval of the Association President, enter into a contract or agreement that exceeds the Chapter's ability to meet expenses. A copy of all signed agreements shall be maintained by the Chapter Treasurer and presented upon request to NDIA National.
- g. **Obligations.** Obligations incurred by the Chapter *in performance of its duties as a part of the NDIA* shall be solely Chapter obligations and no personal liability whatsoever shall attach to, or be incurred by any member, officer, or director of the Chapter.
- h. **Administrative Expenses:** The necessary routine administrative expenses of the chapter shall be met from the proceeds of chapter meetings and activities. Expenses for specific projects indirectly related to the business and policy aspects of government-industry interface will be paid for principally from the proceeds of events expressly advertised as fundraisers.
- i. **Employees:** The Chapter shall not hire employees. If a chapter hires an individual or a company as a contractor to support the Chapter on a project basis, it must provide supporting documentation in accordance with the requirements in the Finance & Business Practices section of the Chapter Manual.
10. **COORDINATION OF EVENTS:** To facilitate de-confliction of speakers' schedules, the Chapter will inform national headquarters whenever it intends to invite a significant speaker from a federal agency *or* intends to invite speakers or participants from outside the Chapter's geographical area. A significant speaker includes: Cabinet Members, Members of Congress, Joint Chiefs of Staff (JCS), and Combatant Commanders (COCOM).
11. **AMENDMENTS:** The Chapter President, in coordination with the Chapter Board of Directors, may propose to the Association amendments to these Operating Principles.
12. **DISSOLUTION:** Dissolution of a Chapter occurs when there is an inability to maintain a Chapter Board and/or if the Chapter no longer hosts meetings or networking events to bring the local community together, for financial reasons or if they fail to meet Chapter responsibilities. Upon dissolution, the Chapter must notify NDIA National of the decision to do so, and/or the Chapter shall be notified of a pending dissolution by National in order that acceptable remedies may be pursued. Any funds or other assets remaining after payment of all obligations of the Chapter shall be distributed to NDIA National and kept in reserve for formation of new or reformation of Chapters. All monies and assets will be returned to NDIA within 30 days of decision to dissolve Chapter.
13. **EFFECTIVE DATE:** These Operating Principles and any amendments thereto are in effect when signed by the Chapter President acting with the approval of the Chapter Board of Directors, and the Association President acting in accordance with the advice and direction of the Association Executive Committee.

Approved  Date 27 DEC 18
Chapter President

Approved _____
Herbert J. Carlisle
General, USAF (Ret.)
President and CEO

Date _____



OFFICERS

President
KEVIN "MORTY" MORTENSEN
BAE Systems

Executive Vice President
PHILIP KWONG
Intelsat General

Treasurer
KARRI PALMETIER
Palmetier Law

Secretary
MICHAEL PENNICA
Pennica Financial Group

COMMITTEES

AWARDS
Joe Kahoe

BALL
Henry Baird

COMMUNICATIONS
Laura Turner

EVENTS AND ACTIVITIES
Rayetta Lantzy

FINANCE
Philip Kwong

INVESTMENT ACCOUNT
Jeff Benesh

MEMBERSHIP SUPPORT
Kelly Shelton

POLICY AND GOVERNANCE
"Doc" Klodnicki

SMALL BUSINESS
"Doc" Klodnicki

GOVERNMENT AND COMMUNITY
RELATIONS
Rusty Powell

WID LIAISON
Kristen Christy

WORK FORCE DEVELOPMENT
Tom Taylor

YOUNG PROFESSIONALS
Tony Muro

31 March 2020

Subject: President Newsletter (January – March 2020)

To all of our Rocky Mountain Chapter Members, we send our heartfelt greetings to you and your families. We know these are extremely difficult times and we continue to look for opportunities at the Chapter, Space Division, and National level to provide you support and value.

With this as a precursor, you will find in this newsletter an expanded view of the various services and opportunities your team at NDIA is working on for YOU. For your part, I ask that you please just do me the personal favor of reading the entire newsletter so you will understand why YOU are "At the Heart of the Mission."

Let us first start with a short interview from last week, 25 March 2020, where our NDIA National President and CEO, General (USAF, Retired) Hawk Carlisle, discussed the defense industry's biggest concerns about COVID-19 and how the NDIA plans to team up with the Pentagon to address them. Link: <https://govmatters.tv/industry-partnering-with-dod-to-alleviate-coronavirus-concerns/>

Earlier this month, Chapter leadership became very concerned with the COVID-19 pandemic alongside other leaders, and we implemented safety precautions to protect our members and volunteer leaders. One innovative solution your RMC team came up with were virtual events. Below is a summary:

Virtual Events:

- Edge of Space Mission: On 15 March 2020, over 1,000 students from over six states launched experiments on a high altitude weather balloon to 85,223 ft. We had students, parents, teachers, NDIA members, and many other people participate in this event via their smartphones and computers. (A detailed explanation of this is in the workforce committee section)
- Cybersecurity Maturity Model Certification (CMMC) Overview: On 25 March 2020, Corbin Evans, NDIA's Director of Regulatory Policy, provided a briefing on the current status of the program, the planned rollout, and what you should do now to prepare. CMMC impacts all NDIA member companies. Corbin offered a short presentation and then answered questions about the emerging requirements.
- Planning, Programming, Budgeting and Execution (PPBE): On 10 April 2020, Rachel McCaffrey, NDIA National's Vice President, Membership and Chapters, will provide an encore presentation of her PPBE webinar. Rachel's premise is, 'if you want to know what matters in DoD, you need to know what's resourced, and understanding what's resourced requires a basic understanding of process.'

DIRECTORS

KRISTINE BURNELL
SAIC

BILL CLARK
Allied Mountain, LLC

KRISTEN CHRISTY
Live Life with an
Exclamation Point!

FRED GAUDLIP
Kratos/RT Logic

SCOTT "SCOOTER" GILSON
COLSA

"FOREY" HAMILTON
The Boeing Co.

JOE KAHOE
Bowhead Support

RAYETTA LANTZY
Viavi Solutions

TONY MURO
MCSG Technologies

RUSTY POWELL
Millennium Engineering and
Integration

KENT TRAYLOR
Lockheed Martin

DIRECTOR EMERITUS

HENRY BAIRD
Baird & Associates, LLC

STEVE STADLER
Space Vision, LLC

Tremendous Collaboration between our Chapter, the Space Division, and NDIA National: One of the things I am most proud of as Chapter President is the great collaboration between the various levels (Headquarters, Division, Chapter) of NDIA. We all work together to bring NDIA members great service and value. I think this will be seen firsthand this summer when we host events that support the Space Warfighting Industry Forum (19-21 August 2020). Please give me the opportunity to explain this in some detail. Below is what's being planned for this summer (19-21 August):

19 Aug 2020: Our Industry Military Annual Golf Event (IMAGE) 2020 will tee off at Eisenhower Golf Course at USAFA. This is one of the premier tournaments in Colorado and a great networking opportunity. We are anticipating National Presidents from NDIA, AFA, and AFCEA to attend, along with other senior officials from Peterson AFB, Schriever AFB, USAFA, US Space Force, and US Space Command. We are honored this year to have Kratos as our Title Sponsor. Join us for a great round of golf, prizes, and Prime Rib! <https://www.planmygolfevent.com/35349-IndustryMilitaryAnnualGolfEvent/>

20 Aug 2020:

- Space Warfighting Industry Forum (Day 1 – Unclass)
- OPENING KEYNOTE: USSPACECOM AND SPACE FORCE: STRUCTURE AND INTEGRATION
- Warfighting in Space: Ready to Fight Tonight
- INTEGRATING SPACE CAPABILITIES
- REQUIREMENT DEVELOPMENT AND DISSEMINATION
- INNOVATION IN INDUSTRY
- CONGRESSIONAL PRIORITIES PANEL
- MORE - TBD

21 Aug 2020:

- Space Warfighting Industry Forum (Day 2 – TS/SCI)
- LESSONS LEARNED FROM WARFIGHTING IN SPACE PANEL
- SPACECOM J2
- SPACECOM J3
- SPACECOM J5
- Warfighting Constructs
- Acquisition Integration
- Warfighting Integration
- Nontraditional Acquisition
- Operations Short of Conflict: Optimizing Space Domain Awareness
- PEOs Panel: Emerging Requirements

21 Aug 2020:

- NDIA Ball: We are proud to have invited the National Presidents of the AFA, AFCEA, and NDIA to this year's Ball. The theme for this year's event is "The Force is with US," recognizing the creation of the nation's 6th military department, the U.S. Space Force.
- At this event we have always been honored to present the Hartinger Award, named after the first Commander of Air Force Space Command, General James V. Hartinger, and this year will be no exception. The 2020 recipient of the award is Lieutenant General John F. Thompson, Space and Missile Systems Commander.
- This year's Mistress of Ceremonies will again be Lauren Ferrara, former journalist with our local Fox 21 news channel. Ms. Ferrara has her own business now, Lauren Ferrara Story Telling, but is going to make time for our event.
- We are in the process of working with the various military organizations in the area to determine their nominees for the Patriot Award given to deserving and significant civilians and military members from our local organizations. So far, our Patriot sponsor, Northrop Grumman, has been named, but there are many other sponsorship opportunities.
- See our NDIA-RMC Chapter web site at <https://ndiarmc.org/> for more information on the event.

Committee Updates: It gives me such pride to highlight the great work that our committees and their teams do all throughout the year. Below is a summary of some of the committees and their highlights for the first quarter of 2020:

Workforce Development Committee:

This committee is led by Tom Taylor... his report is as follows: The Rocky Mountain Chapter of the National Defense Industrial Association (NDIA) works closely with young entrepreneurs to make Science, Technology, Engineering, and Math (STEM) interesting and fun. Our ultimate goal is to show children the positive impacts and enjoyment of STEM-related studies so they will become our technical leaders of tomorrow: not only for the national defense sector, but also for our larger communities.

During this quarter of FY20, our Workforce Development (WFD) efforts supported the Pikes Peak Regional Science Fair, provided direct support to the Fountain/Ft Carson School District 8, and conducted the March 2020 Edge of Space (EoS) mission, promoting STEM across the country.

Pikes Peak Regional Science Fair (PPRSF): NDIA-RMC provided special awards judges at the Pikes Peak Regional Science Fair (PPRSF) to recognize some of the best 6th, 7th and 8th grade projects. Our team judged numerous projects to recognize students who exhibited creativity, science and engineering rigor, and with a potential application to national security.

Provided judges at the PPRSF conducted on Feb 22nd at UCCS

Awarded certificates, coins and a total of \$1,200 in cash to six recipients on Feb 25th at Colorado College

Fountain/Ft Carson School District 8:

During this quarter our chapter sponsored and participated in the Fountain/Ft Carson School District 8 STEM Competition. Specifically, we presented a check in the amount of \$1,000 to the school board and were recognized for the continued support to the schools on Ft Carson.

During this quarter, our chapter provided funding to support STEM activities at Mountainside Elementary on Ft Carson. We provided \$787 to the school to enable kids to visit the Space Foundation's Discovery Center and to purchase Frog Dissection kits for kids in the STEM Club.

Edge of Space Mission: One of our most successful projects is our Edge of Space (EoS) mission, where we work directly with teachers and kids to learn about space, practice the scientific method through experimentation, and have hands-on fun with STEM. This EoS mission involves kids developing experiments that are launched on a weather balloon that flies to the "edge of space."

- Total of 139 experiments – Well over 1000 students impacted
- Altitude was 85K feet – cut early because of drift
- Social media article provided
- Lots of flight data gathered
- Several schools/payloads involved elementary through high school from Colorado, Texas, Pennsylvania, Iowa, Virginia, and New Jersey
- Spring-Ford High School (PA),
- Warhill High School (VA),
- Lampeter-Strasburg High School (PA),
- Toms River High School North (NJ),
- St Mary's High School (CO),
- Texas Middle School (TX),
- Upper Providence Elementary School (PA),
- Montclair Elementary School (CO),
- Trailblazer Elementary School (CO) and
- Girl Scouts from Junior Troop #253 (IA).
- Spring-Ford High School (PA) Spring-Ford High School students are finalizing their payload box which will carry a number of different experiments. The placement of the mini-video camera is being made. A small "cupola" like on the ISS, is being placed above the pocket lab experiment located under the clear top

of the payload box. - "My principal was so thankful that so many kids and himself and other administrators could follow along with the launch on Sunday. He said it was such a relief to actually do what we are supposed to be doing, teaching and learning, and not talking about this virus and doom. It was a nice break to the insanity, for sure."

- Toms River HS North (New Jersey) students select seeds to fly on upcoming Edge of Space Mission.
- Girl Scouts from Junior Troop #253 in Ames, (Iowa) will be sending up a seed experiment in the payload box
- <https://youtu.be/ykuFqg89vKc>

Small Business Committee:

- This committee is led by Doc Klodnicki. Doc's report is as follow:
- Meetings via teleconference with the local agencies (COS Chamber (CSCEDC), PTAC, El Paso County Pikes Peak Small Business Development Center, Colorado Office of Economic Development & International Trade) as well as the local Women In Defense (WID) Chapter and National NDIA on the economic impacts of the COVID-19 business closures. Detailed the concerns of local industry and their support companies, detailing the need for clarity in the directives at each level of Government, especially at intersections of authority and differences in directive
- Working with NDIA National on legal and policy concerns related to COVID-19 and Force Majeure and Sovereignty Act impacts on DOD contracts for work stoppage, work-from-home, contract pause, cease work, and equitable adjustments for Cost-plus, time & material, and fixed price contracts. Significant support from our local legal SBs.
- Developing a parallel schedule for teleconference meetings as a new method for SB information sharing events. Contacting local teleconference and virtual meeting vendors to determine best options and largest audience reach while also maintain cyber-secure environment for all
- Meetings with Cybersecurity Maturity Model Certification (CMMC) Accreditation Body (CMMC-AB) to determine path ahead for DOD roll-out of CMMC and the requirements for all 380,000 Defense Industrial Base (DIB) contracts to comply with the five levels of CMMC certification to handle and manage Controlled Unclassified Information (CUI) as part of the contracted work with DOD
- Doc serves on the Board of Directors for CMMC-AB
- 3 March luncheon event sponsored by SB Committee titled "CMMC: Guarding the Keys to the Kingdom" held at the DoubleTree, our newest corporate member. Exceptionally well attended (~70 people) to include representatives from Senator Gardner, Senator Bennet, and Congressman Lamborn's office as well as the PTAC, CSCEDC, SBA, Minority Affairs Office, and the Small Business

contracting office (21 SM representing USSF and 21 SW). Extensive coverage of the history and future of the CMMC intent, roll-out, and eventual certification of the entire DIB by 2026. Multiple on-line questions submitted prior the event...were able to take a few from the floor and some from the list. Made the presentation and questions available to the attendees and will post to the website.

- Delays to postings on website: please be patient as our team relies on the volunteer work of many who have social media, teleconference, and web support background – all are in VERY high demand currently in response to the stay-at-home orders and reliance on tele-working. This is a testament to the SB community and the great caliber of folks who help our NDIA SB Committee and who support our National Security!

Website Committee:

- The improvement of our website has been a strategic objective for our chapter for over two years. Our team has been led by Phil Kwong and Bill Clark. The amazing work they've done, complimented with the incredible talents of Robyn, are nothing short of amazing. The website report below was provided by Phil Kwong:
- Our website developer, Robyn Millheim, volunteered to be one of a handful of NDIA Members to be a part of the Beta Testing for the new NDIA Connect. Having Robyn involved in the functionality of NDIA Connect keeps our Rocky Mountain Chapter in the front lines of website innovation and technology for communication and resources with our National Organization.

NDIA Connect is restricted to just NDIA Members and is an online community for defense and national security professionals to:

- Launch or join conversations with other users
 - Share information and encourage dialog
 - Grow their professional network in an online community
 - Share modeling, simulating and training
 - Share useful files
 - Post articles on specific topics
 - Raise awareness about our Chapter events in the community calendar
- DIA-RMC is poised to launch our own Phase 2 of our website, which includes a Member Portal for additional Member-Only services.
 - We are now able to link to NDIA Connect for many of the resources that we were going to include on our site. This gives our members an even larger community with which to share and receive information! Very exciting!

Going Forward:

- As we move into Spring 2020, we pray for the health and safety of each of your families. We wish you the best as we fight through this COVID-19 pandemic and we look forward to seeing you again at our regularly scheduled events in the future.
- When things get back to normal, if you'd like to volunteer on one of our committees or would like to support an event, please send me an email at kevin.mortensen@baesystems.com and let me know what your interest is.

Respectfully,

A stylized, handwritten signature in black ink that reads "Morty". The letters are fluid and connected, with a cursive-like feel.

Kevin "Morty" Mortensen
President, Rocky Mountain Chapter
National Defense Industrial Association



OFFICERS

30 June 2020

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BAE Systems

Executive Vice President
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WID LIAISON
Kristen Christy

WORK FORCE DEVELOPMENT
Tom Taylor

YOUNG PROFESSIONALS
Tony Muro

Subject: President Newsletter (April – June 2020)

The Rocky Mountain Chapter sends its best wishes to you and your families as celebrate America's birthday this weekend. We wish you a safe and healthy celebration as we continue to work through the COVID-19 pandemic.

As I mentioned in my last Chapter President's Newsletter, we implemented safety precautions to protect our members and our volunteer leaders. These precautions led us to change our in-person events to virtual ones... and last quarter we had several!

We held a telephone townhall event with U.S. Senator Cory Garner and also a Planning, Programming, Budgeting and Execution (PPBE) Webinar. We also held small business focused virtual events that were led by our Chapter Small Committee. Furthermore, we collaborated with the NDIA Space Division and brought you a Virtual Brown Bag session with the U.S. Space Force where Mr. Chris Ayers, the Technical Director for USSF/S5 and he led a discussion titled, "Innovation, Prototype to Transition."

We will continue our Virtual Brown Bag series on Tuesday, 7 July 2020, from 12:00pm - 1:30pm MDT, when Mr. Mike Dickey, HQE, Director of the Enterprise Strategy and Architectures Office and the U.S. Space Force Chief Architect, USSF/HQSF S5/9 ESAO, speaks at our next event.

Zoom Info:

<https://lmco.zoomgov.com/j/1619881638?pwd=bzRWNVdWMTBTRk1PYTUyMTJna1VaUT09>

Password: 078828

+16692545252,,1619881638# US (San Jose)

+16468287666,,1619881638# US (New York)

As we transition into the third quarter, we have some amazing events planned but we're continuing to keep a mindful eye on safety. We're monitoring the Center for Disease Control (CDC) as well as guidance from the Colorado Governor's Office.

Note: For the SWIF Event below, we are planning both in-person and on-line streaming participation (for the 20 Aug unclass session) to ensure maximum participation and safety. We are confirming our venues to ensure we can confirm proper social distancing for conference purposes.

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The Rocky Mountain Chapter continues its great collaboration with NDIA National, NDIA Space Division, U.S. Space Force and U.S. Space Command on the planning of the Space Warfighting Industry Forum (SWIF) that's scheduled for 19-21 August 2020.

19 Aug 2020: We will start events off with our Industry Military Annual Golf Event (IMAGE) 2020 will tee off at Eisenhower Golf Course at USAFA. We are honored this year to have Kratos as our Title Sponsor. Join us for a great round of golf, prizes, and Prime Rib! <https://www.planmygolfevent.com/35349-IndustryMilitaryAnnualGolfEvent/>

- 20 Aug 2020:

- Space Warfighting Industry Forum (Day 1 – Unclass) (Tentative Topics Include -- Subject to Chg)
 - Welcome: General Hawk Carlisle, USAF (Ret), Pres/CEO NDIA
 - Welcome: Lt Gen Jay Silveria, Superintendent, US Air Force Academy
- OPENING KEYNOTE: USSPACECOM AND SPACE FORCE: STRUCTURE AND INTEGRATION
 - General Jay Raymond, Chief of Space Operations, U.S. Space Force [Commander, US Space Command]
- INTEGRATING SPACE CAPABILITIES—PANEL
- REQUIREMENT DEVELOPMENT AND DISSEMINATION
- INNOVATION IN SPACE WARFIGHTING
- CONGRESSIONAL PRIORITIES PANEL
- SPACE FORCE VISION
- NETWORKING RECEPTION W/CADETS

- 21 Aug 2020

- Space Warfighting Industry Forum (Day 2 – CLASSIFIED (UP TO TS/SCI) (Tentative Topics Include -- Subject to Chg)
 - Welcome: General Hawk Carlisle, USAF (Ret), Pres/CEO NDIA
 - Welcome: General Jay Raymond, Chief of Space Operations, U.S. Space Force [Commander, US Space Command]
- SPACE OPERATIONS—CAPSTONE
- LESSONS LEARNED FROM WARFIGHTING IN SPACE
- OPERATIONS SHORT OF WAR: WINNING THE GRAY ZONE IN SPACE
- WARFIGHTING IN SPACE: READY TO FIGHT TONIGHT
- PANEL: EMERGING REQUIREMENTS FOR SUCCESSFUL SPACE OPERATIONS

NDIA Ball: Due to COVID-19, we regret we must cancel this year's NDIA Ball. This is very disappointing but obviously it's something we must do for the safety of all involved.

We do plan on presenting the Hartinger Award to the 2020 recipient, Lt Gen John Thompson, SMC Commander, during the 20 Aug SWIF session.

Thank you to all our Rocky Mountain Chapter members and, again, we wish you and your families, a safe and healthy holiday weekend. If you would like to volunteer on one of the Chapter leadership Committees, please send me an email at kevin.mortensen@baesystems.com and let me know what your interest is.

Respectfully,

A stylized, handwritten signature in black ink that reads "Morty". The letters are cursive and fluid, with a prominent 'M' and a trailing 'y'.

Kevin "Morty" Mortensen
President, Rocky Mountain Chapter
National Defense Industrial Association

Great! Thanks for filling out my form!
